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Date: January 29, 2004

Christine M. Spivey

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hajime KANDOU et al.

Serial No.: 09/840,359

Filing Date: April 23, 2001

For: SURFACE ACOUSTIC WAVE

DEVICE

Examiner: K. B. Addison

Art Unit: 2834

COMMENTARY RE INFORMATION DISCLOSURE STATEMENT

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR §1.56, and in recognition of their duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicants herewith submit a copy of the prior art listed on the attached Information Disclosure Statement (Form PTO-1449), including English abstracts where needed. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

02/04/2004 WABDELR1 00000096 09840359

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U.S. Application No. 09/840,359 January 29, 2004 Page 2 of 2

Applicants petition the Commissioner to consider this information disclosure statement pursuant to C.F.R. § 1.197(d). Enclosed is a Credit Card Payment Form in the amount of \$180.00 to cover the petition fee. If any additional fee is required, the Commissioner is authorized to charge Deposit Account No. 50-1353.

Applicants respectfully request that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: January 29, 2004

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Substitute Surform 1449/PTO
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use as many sheets as necessary)

Sheet

Complete if Known				
Application Number	09/840,359			
Filing Date	April 23, 2001	_		
First Named Inventor	Hajime KANDOU			
Art Unit	2834			
Examiner Name	K. B. Addison			
Attorney Docket Number	36856.472			

U.S. PATENT DOCUMENTS					
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code ^{2(if known)}	MM -YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ Number-Kind Code ⁵ (if known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Le
	1	54-066792	5/1979	Japan	·	X
	2	61-222312	10/1986	Japan		X
	3	64-015421	1/1989	Japan		

Examiner	Date	
Signature	Considered	

*Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Applicant unique citation designation number (optional). ²See Kind Codes of USPTO Patent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document by the two-letter code (WIPO Strandard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.